



10/17/01

FRANK R. AGOVINO  
DERICK E. ALLEN  
ROBERT M. BAIN  
JAMES J. BARTA, JR.  
G. HARLEY BLOSSER  
JOHN M. BODENHAUSEN  
RICHARD L. BRIDGE  
SARAH J. CHICKOS  
J. BENNETT CLARK  
JENNIFER E. COOK  
DAVID E. CRAWFORD, JR.  
MATTHEW L. CUTLER  
JAMES E. DAVIS  
KATHRYN J. DOTY  
ROBERT M. EVANS, JR.  
PAUL I.J. FLEISCHUT  
MICHAEL E. GODAR  
CHRISTOPHER M. GOFF  
DAVID W. HARLAN  
JAMES D. HARPER  
EDWARD J. HEJLEK

KAREN Y. HUI  
KURT F. JAMES  
VINCENT M. KEIL  
ANTHONY R. KINNEY  
BRIAN P. KLEIN  
WILLIAM E. LAHEY  
JULIE J. McMURRY  
MICHAEL G. MUNSELL  
DEBRA D. NYE  
WILLIAM D. O'NEILL  
KATHLEEN M. PETRILLO  
LAURA R. POLCYN  
KEITH A. RABENBERG  
STEVEN M. RITCHEY  
JOHN K. ROEDEL, JR.  
BRADLEY S. SCHAMMEL  
RICHARD A. SCHUTH  
MICHAEL J. THOMAS  
DONALD W. TUEGEL  
JACOB S. WHARTON

ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102  
314-231-5400

October 17, 2001

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
IRVING POWERS  
DONALD G. LEAVITT  
RICHARD G. HEYWOOD

STUART N. SENNIGER  
(1921-1997)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: MS177822.1(4960)  
First Named Inventor: Donald Thompson  
Title: DISTRIBUTED VARIABLE SYNCHRONIZER  
Express Mail Label Number: EL 910280256 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 42]
4. ☒ Drawings [Total Sheets 09]
5. Oath or Declaration [Total Pages 02]
  - a. ☒ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.



T.D.C.F. "92072560"

6. ☐ Incorporation By Reference  
(useable if Box 5b, is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☒ IDS with PTO-1449 ☒ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☒ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: \_\_\_\_\_
- ☐ Complete Application based on provisional Application  
No. \_\_\_\_\_

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Robert M. Bain

Respectfully submitted,



Robert M. Bain, Reg. No. 36,736

RMB/cjl

09581096-404704

**FEE TRANSMITTAL**

Application Number Not yet assigned  
Filing Date October 17, 2001  
Confirmation No. Not yet assigned  
Inventor(s) Thompson et al.  
Group Art Unit Not yet assigned  
Examiner Name Not yet assigned  
Attorney Docket Number MS177822.1(4960)

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$740.00  
(Type: UTILITY)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$546.00  
Total Claims 41  
Independent Claims 05  
Multiple Dependent Claims 00
3. ☒ ADDITIONAL FEES Subtotal (3) \$40.00  
☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☒ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$1,326.00

Robert M. Bain  
Robert M. Bain, Reg. No. 36,736

October 17, 2001  
Date

RMB/cjl

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093403-1074  
F04T01-92078550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Thompson et al.

For DISTRIBUTED VARIABLE SYNCHRONIZER

October 17, 2001

**REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,



Robert M. Bain, Reg. No. 36,736  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

Express Mail Label No. EL910280256US

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